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| <b>Title:</b> Computing and Software:<br>Preliminary Requirements                 | <b>Owner:</b> Hiriart | <b>Date:</b> 2019-07-30 |
| <b>NRAO Doc. #:</b> 020.50.00.00.01-0001-REQ-A-<br>COMPUTING_SOFTWARE_PRELIM_REQS |                       | <b>Version:</b> A       |



## Computing and Software: Preliminary Requirements

020.50.00.00.01-0001-REQ-A-COMPUTING\_SOFTWARE\_PRELIM\_REQS

Status: **RELEASED**

| <b>PREPARED BY</b> | <b>ORGANIZATION</b>                | <b>DATE</b> |
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| M. McKinnon,<br>Project Director        | Asst. Director,<br>NM-Operations, NRAO | 2019-07-30  |



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## Change Record

| Version | Date       | Author  | Notes/Changes                            |
|---------|------------|---------|--|
| 01      | 2018-04-09 | Hiriart | Initial draft                            |
| 02      | 2019-07-19 | Hiriart | Updated for reference design.            |
| 03      | 2019-07-22 | Selina  | Minor updates for release.               |
| A       | 2019-07-30 | Lear    | Prepared PDF for signatures and release. |



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## I Introduction

### 1.1 Purpose

This document defines L2 requirements for the elements belonging to the ngVLA Computing and Software system. The main inputs for the derivation of these requirements are the ngVLA Science Requirements [AD01], the ngVLA System Requirements [AD02], and the ngVLA Operations Concept [AD03]. The requirements defined in this document are preliminary, and will be completed and refined as the project advances to more detailed design stages.

### 1.2 Scope

The scope of this document is the system elements belonging to the ngVLA Computing and Software subsystem. Following the Computing and Software architecture [RD01], this document has been structured in sections that classify requirements according to the following areas:

- **Proposal Management:** Requirements related to the process of proposal submission, evaluation, time allocation and the generation of observing instructions (scheduling blocks).
- **Online Subsystem:** Requirements related to the execution of telescope observations, up to the point where raw data is stored persistently into the Science Archive.
- **Offline Subsystem:** Requirements related to all the post-observation operations performed on the collected science data.
- **Maintenance and Support:** Requirements related to the system elements that provide support for engineering and maintenance activities.
- **Development Operations:** Requirements related to system elements that support software development activities.

The requirements defined for the Online Subsystem include the Monitoring & Control requirements, with the exception of requirements pertaining to the hardware interface between Computing & Software and the antenna electronics systems, the Module Interface Board (MIB). These requirements are defined in [RD02].



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## 2 Related Documents

### 2.1 Applicable Documents

The following documents may not be directly referenced herein, but provide necessary context or supporting material.

| Ref. No. | Document Title             | Rev / Doc. No.           |
|----------|----------------------------|--------------------------|
| AD01     | ngVLA Science Requirements | 020.10.15.00.00-0001-REQ |
| AD02     | System Requirements        | 020.10.15.10.00-0003-SPE |
| AD03     | Operations Concept         | 020.10.05.00.00-0002-PLA |

### 2.2 Reference Documents

The following documents are referenced within this text.

| Ref. No. | Document Title   | Rev / Doc. No.           |
|----------|--|--------------------------|
| RD01     | Computing & Software Architecture: Reference Design                              | 020.50.00.00.01-002-REP  |
| RD02     | Monitor and Control Hardware Interface Layer: Preliminary Technical Requirements | 020.30.45.00.00-0002-REQ |

## 3 Proposal Management Requirements

| Parameter                        | Req. #  | Value  | Traceability                    |
|----------------------------------|---------|--|---------------------------------|
| NRAO Proposal System Integration | CSW0075 | The ngVLA Proposal Management Subsystem shall be integrated with the NRAO Proposal Management System, which currently supports the VLA, GBT, and VLBA telescopes, and supports the proposal submission, review, and time allocation use cases. | SYS2201,<br>STK2502             |
| ngVLA Resources Model            | CSW0076 | The Proposal Management Subsystem shall incorporate the ngVLA Resource Model.  | SYS2221                         |
| Sub-Array Management Support     | CSW0077 | The ngVLA Resource Model shall incorporate the necessary data elements to support sub-array management.  | SYS2302                         |
| Post-Processing Support          | CSW0078 | The ngVLA Resource Model shall incorporate the necessary data elements to support automatic post-processing, for the supported Standard Observing Modes.   | SYS0703,<br>SYS0721,<br>STK0800 |
| Expert Mode Support              | CSW0079 | The ngVLA Proposal Management Subsystem shall support expert modes, allowing the specification of non-standard instrument configurations and data processing.  |                                 |
| Scheduling Block Generation      | CSW0080 | The ngVLA Proposal Management Subsystem shall generate observing instructions (scheduling blocks) from the information entered in the submitted proposals.   | SYS2222                         |



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## 4 Online Subsystem Requirements

### 4.1 Scheduling Requirements

| Parameter                            | Req. #  | Value   | Traceability                    |
|--------------------------------------|---------|---|---------------------------------|
| Automatic Scheduling Block Selection | CSW0070 | The Online Subsystem shall dynamically select the Scheduling Block to be executed in a sub-array, based on a pool of candidate Scheduling Blocks, and the current conditions of the sub-array and its environment, including the weather conditions.        | SYS2302                         |
| Manual Scheduling Block Selection    | CSW0071 | The Online Subsystem shall provide an interface for the Operator to select the Scheduling Block to be executed in a sub-array.  | SYS2223                         |
| VLBI Observations                    | CSW0072 | The Online Subsystem shall provide an interface to execute VLBI observations in a sub-array. A VLBI observation shall be specified in VEX format, and the system shall provide a way to synchronize the observation with other participating observatories. | SYS0006                         |
| Observation Execution Abortion       | CSW0073 | The Online Subsystem shall provide a way for the Array Operator to abort a running observation in a sub-array. The observations running in other sub-arrays shall not be affected by this abort operation.  | SYS2224,<br>SYS3004,<br>STK0902 |
| Manual Sub-Array Management          | CSW0074 | The Online Subsystem shall provide an interface for the Array Operator to create and destroy sub-arrays.  | SYS2302                         |

### 4.2 Observation Control Requirements

| Parameter                           | Req. #  | Value   | Traceability        |
|-------------------------------------|---------|---|---------------------|
| Antenna Pointing                    | CSW0048 | The Online Subsystem shall control the pointing of antennas belonging to a sub-array during an observation. The subsystem shall allow to partition the sub-array in portions that point to different directions (this is necessary for calibration observations such as interferometric pointing and sky holography). |                     |
| Online Antenna Pointing Calibration | CSW0049 | The Online Subsystem shall compute pointing calibration coefficients from the data acquired in pointing scans and apply these coefficients on subsequent antenna movements.   | SYS2303             |
| Delay Tracking                      | CSW0050 | The Online Subsystem shall command the sub-array electronic systems to perform delay tracking during an observation.  |                     |
| Frequency Tuning                    | CSW0051 | The Online Subsystem shall command the sub-array electronic system to down-convert and process the specified observation frequency range(s).  | SYS0801,<br>SYS0806 |



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| Parameter                              | Req. #  | Value   | Traceability |
|--|---------|---|--------------|
| Doppler Tracking                       | CSW0052 | The Online Subsystem shall shift the observing frequency to account for Doppler effects. This function shall be an observation option; i.e. it should be possible to turn this function on or off.  |              |
| Signal Path Attenuation                | CSW0053 | The Online Subsystem shall command the sub-array electronic system to set optimal attenuator gains.   | SYS1203      |
| Online Antenna Focus Calibration       | CSW0054 | The Online Subsystem shall compute focus calibration coefficients from the data acquired in focus scans and apply these coefficients on subsequent antenna sub-reflector settings.  |              |
| CSP Antenna Input Distribution         | CSW0055 | The Online Subsystem shall manage the distribution of antenna inputs into the CSP, mapping antenna streams to CSP tridents, both for the direct-connected antennas and the ISP-connected antennas.  |              |
| Unconnected Antennas Data Transmission | CSW0056 | The Online Subsystem shall manage the data transmission for the ISP-connected antennas, starting and stopping the transmission of data packets from the antennas.   |              |
| Fringe Rotation                        | CSW0057 | The Online Subsystem shall issue the necessary commands to the sub-array electronic system to perform fringe rotation during an observation.  |              |
| LO Offsetting                          | CSW0058 | The Online Subsystem shall command the sub-array electronic systems to perform LO offsetting.   |              |
| Timing Synchronization                 | CSW0059 | The Online Subsystem shall command the sub-array electronic systems so their local clocks are synchronized in time.   |              |
| Return to Phase                        | CSW0060 | The Online Subsystem shall issue the necessary commands to the sub-array electronic systems to return to phase; i.e. when returning to a given frequency after observing in a different frequency the visibility phase should be as if the system had been observing in the first frequency all the time. |              |
| Beam-forming weights                   | CSW0061 | The Online Subsystem shall compute beam-forming weights and pass them to the CSP during an observation.   |              |



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### 4.3 Observing Mode Requirements

| Parameter  | Req. #  | Value  | Traceability                    |
|--|---------|--|---------------------------------|
| Continuum Interferometry Observing Mode          | CSW0062 | The Online Subsystem shall support the Continuum Interferometry Observing Mode.          | SYS0002                         |
| Spectral Line Interferometry Observing Mode      | CSW0063 | The Online Subsystem shall support the Spectral Line Interferometry Observing Mode.      | SYS0002                         |
| Total Power Observing Mode                       | CSW0064 | The Online Subsystem shall support the Total Power Observing Mode.                       | SYS0007                         |
| Pulsar Timing Observing Mode                     | CSW0065 | The Online Subsystem shall support the Pulsar Timing Observing Mode.                     | SYS0004                         |
| Pulsar Search Observing Mode                     | CSW0066 | The Online Subsystem shall support the Pulsar Search Observing Mode.                     | SYS0005                         |
| Very Long Baseline Interferometry Observing Mode | CSW0067 | The Online Subsystem shall support the Very Long Baseline Interferometry Observing Mode. | SYS0006,<br>SYS0201,<br>SYS0203 |
| On-the-Fly Mosaicking Observing Mode             | CSW0068 | The Online Subsystem shall support the On-the-Fly Mosaicking Observing Mode.             | SYS0008                         |
| Solar Observing Mode                             | CSW0069 | The Online Subsystem shall support the Solar Observing Mode.                             | SYS0009                         |

### 4.4 Calibration Requirements

| Parameter                | Req. #  | Value  | Traceability                    |
|--------------------------|---------|--|---------------------------------|
| Array Calibration Tools  | CSW0016 | The Online Subsystem shall provide tools to compute calibration models, store them, and apply them into the system.  | SYS1063                         |
| Amplitude Calibration    | CSW0017 | The Online Subsystem shall compute complex amplitude calibration tables and include them in the output data product. | SYS1068                         |
| Flux Calibration         | CSW0018 | The Online Subsystem shall compute flux calibration tables and include them in the output data product.              | SYS1064,<br>SYS1801,<br>SYS4801 |
| Bandpass Calibration     | CSW0019 | The Online Subsystem shall compute bandpass calibration tables and include them in the output data product.          | SYS1066                         |
| Polarization Calibration | CSW0020 | The Online Subsystem shall compute polarization calibration tables and include them in the output data product.      | SYS1065,<br>SYS1901             |
| Pointing Calibration     | CSW0021 | The Online Subsystem shall compute pointing calibration tables and include them in the output data product.          |                                 |
| Focus Calibration        | CSW0022 | The Online Subsystem shall compute focus calibration tables and include them in the output data product.             |                                 |





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| Parameter                 | Req. #  | Value  | Traceability |
|---------------------------|---------|--|--------------|
| Delay Calibration         | CSW0023 | The Online Subsystem shall compute delay calibration tables and include them in the output data product.   |              |
| WVR Calibration           | CSW0024 | The Online Subsystem shall compute WVR calibration tables and include them in the output data product.   |              |
| Offline Calibration Tools | CSW0025 | The Online Subsystem shall provide tools to calculate the calibration tables generated during an observation (amplitude, flux, bandpass, polarization, pointing, focus, delay, and WVR) offline from saved datasets. |              |

#### 4.5 Configuration Requirements

| Parameter                     | Req. #  | Value   | Traceability        |
|-------------------------------|---------|---|---------------------|
| Persistent Configuration Data | CSW0026 | The Online Subsystem shall persistently store system configuration data. This configuration data includes the array center position, the antenna locations, the cable and electronic delay model, alarm thresholds, and other parameters. Configuration data shall be kept under version control. | SYS2406,<br>STK1300 |
| System Reconfiguration        | CSW0027 | The Online Subsystem shall allow an Operator to change configuration parameters and apply these changes in the affected systems automatically.  | STK1704             |

#### 4.6 Data Product Requirements

| Parameter                         | Req. #  | Value  | Traceability        |
|-----------------------------------|---------|--|---------------------|
| Visibility Data Format            | CSW0028 | The Online Subsystem shall output visibility data in the same format as required for processing (calibration and imaging). | SYS0701,<br>SYS0702 |
| Pulsar Timing Profile Data Format | CSW0029 | The Online Subsystem shall output pulsar timing profile data in PSRFITS format.  | SYS0741             |
| Offline Pulsar Search Data Format | CSW0030 | The Online Subsystem shall output offline pulsar search data in PSRFITS format.  | SYS0742             |
| VLBI Data Format                  | CSW0031 | The Online Subsystem shall output VLBI data in VDIF format.  |                     |



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#### 4.7 Interface Requirements

| Parameter                          | Req. #  | Value   | Traceability  |
|------------------------------------|---------|---|---|
| Antenna Electronics M&C Interface  | CSW0032 | The Online Subsystem shall interface with the antenna electronic systems for M&C through the MIB boards, using the Ethernet protocol. |   |
| LO Reference M&C Interface         | CSW0033 | The Online Subsystem shall interface with the LO Reference equipment for M&C using the Ethernet protocol.                             |   |
| Time Synchronization M&C Interface | CSW0034 | The Online Subsystem shall interface with the Time Synchronization equipment for M&C using the Ethernet protocol.                     |   |
| CSP M&C Interface                  | CSW0035 | The Online Subsystem shall interface with the CSP for M&C using the Ethernet protocol.  |   |
| CSP Output Interface               | CSW0036 | The Online Subsystem shall interface with the CSP to receive its output using the Ethernet protocol.                                  |   |
| Operations Interface               | CSW0037 | The Online Subsystem shall provide an interface for array operations.   | SYS2407,<br>SYS2305,<br>SYS2306,<br>SYS2307,<br>SYS2223,<br>STK1703 |
| Engineering Support Interface      | CSW0038 | The Online Subsystem shall provide an interface for engineering support.  | SYS2407   |
| Quality Assurance Interface        | CSW0039 | The Online Subsystem shall provide an interface for observation quality assurance.  |   |

#### 4.8 Monitoring and Control Requirements

| Parameter                           | Req. #  | Value   | Traceability |
|-------------------------------------|---------|---|--------------|
| Autonomous Operations               | CSW0040 | The Monitor and Control systems shall initialize and configure themselves and their connected elements to become operationally ready autonomously. Monitoring shall start as soon as possible, also autonomously. | STK1506      |
| Line Replaceable Unit Serial Number | CSW0041 | Each Line Replaceable Unit (LRU) shall be identified by a unique serial number.   | SYS2403      |
| Ethernet M&C Protocol               | CSW0042 | The Monitoring and Control protocol shall be based on Ethernet.   |              |
| Automatic Reconfiguration           | CSW0043 | The system shall detect when an LRU has been replaced and reconfigure itself automatically.   | STK1506      |
| Low-Level Access to MIB Boards      | CSW0044 | The system shall provide low-level access to the MIB boards in order to support effective troubleshooting operations.   |              |
| Self-Diagnostic Operations          | CSW0045 | The system shall incorporate self-diagnostic operations.  | SYS2405      |



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| <b>Parameter</b>           | <b>Req. #</b> | <b>Value</b>  | <b>Traceability</b> |
|----------------------------|---------------|---|---------------------|
| Safety-Critical Operations | CSW0046       | The Monitor and Control system shall not be responsible for safety-critical operations involving possible damage to personnel and equipment.  | SYS2700             |
| Oscilloscope Function      | CSW0047       | The system shall provide an oscilloscope function, which allows sampling a monitor point with high frequency for an interval of time. The system shall provide the capability to trigger this function both manually and automatically. |                     |



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## 5 Offline Subsystem Requirements

| Parameter                              | Req. #  | Value  | Traceability  |
|--|---------|--|---|
| Visibility Data Rate                   | CSW0006 | The Offline Subsystem shall support an input data rate for interferometric data of 6,714.5 GVis per hour, 7.46 GB/s in average, with a peak of 119,342 GVis per hour, 132.6 GB/s. This is estimated to require 322.9 PFLOPs/s of processing. | SYS0752   |
| Pulsar Timing Data Rate                | CSW0007 | The Offline Subsystem shall support an input data rate for pulsar timing data of 130MB/s.  | SYS0301, SYS0302, SYS0303, SYS0304, SYS0305, SYS0306, SYS0307 |
| Pulsar Search Data Rate                | CSW0008 | The Offline Subsystem shall support an input data rate for pulsar search data of 820 MB/s.   | SYS0401, SYS0402, SYS0403, SYS0404, SYS0405                   |
| Synthesis Imaging Performance          | CSW0009 | The Offline Subsystem shall be able to calibrate and produce imaging data products from interferometric data with a throughput that matches or exceeds the input data rate (CSW0006).  | SYSI062, SYS0752  |
| Pipeline Reliability                   | CSW0010 | The Offline Subsystem shall tolerate failure of 10% (TBC) of computing nodes and associated storage involved in a pipeline execution without losing data computed so far.  | SYS0752   |
| SRDP Integration                       | CSW0011 | The ngVLA Offline Subsystem shall be based on the architecture developed by the SRDP project.  | STK2500   |
| External Processing                    | CSW0012 | The Offline Subsystem shall support the capability of using external (i.e. non-Observatory) computing resources in order to support Large and Legacy scale projects.   |   |
| Visibility Processing Software Package | CSW0013 | The Offline Subsystem shall provide a software package for visibility processing.  | STK1202   |
| Data Analysis Software Package         | CSW0014 | The Offline Subsystem shall provide a software package for data analysis.  | SYS0761, SYS2201, STK1201                                     |
| Reprocessing capacity                  | CSW0015 | The Offline Subsystem shall incorporate enough processing capacity to service reprocessing requests. Total capacity shall be 1.5 times the processing power necessary to generate the standard data products.                                | SYS0736, SYS0734  |



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## 6 Maintenance and Support Subsystem Requirements

| Parameter                       | Req. #  | Value   | Traceability                    |
|---------------------------------|---------|---|---------------------------------|
| Concurrent Software Versions    | CSW0003 | It shall be possible to run multiple software versions in all systems with multiple instances concurrently, for testing and commissioning purposes.             | STK1501,<br>STK1402             |
| Automatic Maintenance Scheduler | CSW0004 | The system shall continuously analyze the array status, automatically generate maintenance activity tickets, and maintain the maintenance schedule.             | SYS2405,<br>STK1700             |
| Autonomous Antennas             | CSW0005 | The system shall include a supervisory system for controlling the antennas, evaluating its performance, calibrating the antennas, and solving routine problems. | SYS2304,<br>STK1506,<br>STK1704 |

## 7 Development Operations Requirements

| Parameter             | Req. #  | Value  | Traceability |
|-----------------------|---------|--|--------------|
| Simulation Support    | CSW0001 | The ngVLA development infrastructure shall incorporate telescope simulation capabilities in order to support development, testing, integration, and verification activities.   |              |
| Consistent Deployment | CSW0002 | The ngVLA development infrastructure shall keep all the necessary artifacts to deploy a consistent system under version control. This includes the source code, configuration data for both software and hardware, and external libraries. |              |