





# **System Electromagnetic Compatibility and Radio Frequency Interference Mitigation Requirements**

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# **Change Record**







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# <span id="page-3-0"></span>**1 Introduction**

## <span id="page-3-1"></span>*1.1 Purpose*

This document presents system-level Electromagnetic Compatibility (EMC) and Radio Frequency Interference (RFI) emission requirements. This specification is a subsection of the Next Generation Very Large Array (ngVLA) System Requirements [AD01], which in turn flow down from the Science Requirements and Stakeholder Requirements.

## <span id="page-3-2"></span>*1.2 Scope*

This document is applicable to all equipment, buildings, and infrastructure located at or near the ngVLA antenna sites. All related ngVLA system elements shall be required to comply with this specification.

Off-site buildings, such as the repair center, science center, and data center, are exempted from this specification. This exemption also applies to equipment that reside solely at these off-site operational facilities.

# <span id="page-3-3"></span>**2 Related Documents and Drawings**

#### <span id="page-3-4"></span>*2.1 Applicable Documents*

The following documents are applicable to this Technical Specification to the extent specified. In the event of a conflict between the documents referenced herein and the content of this Requirements Specification, the content of the *highest*-level specification (in the requirements flow-down) shall be considered the superseding requirement for design elaboration and verification.



## <span id="page-3-5"></span>*2.2 Reference Documents*

The following references provide supporting context:





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# <span id="page-4-0"></span>**3 Emission Requirements**

#### <span id="page-4-1"></span>*3.1 Radio Frequency Interference Radiated Emission Limits*



The electronics within or near an antenna must be shielded to avoid radio frequency interference (RFI) being received by the Front End electronics, degrading system sensitivity. The tables below are based on the analysis presented in [RD01], updated for the ngVLA use cases and design. The supporting derivations are given in the Appendix, Section [6.3.](#page-15-0)

[Table 1](#page-4-2) provides an equivalent isotropic radiated power limit over narrow bandwidths, corresponding to a spectral line observation with a resolution of 0.1km/s. [Table 2](#page-5-1) provides a second equivalent isotropic radiated power limit applicable to wider bandwidths, equivalent to 0.1% of the observing frequency. Both limits must be respected over their respective bandwidth ratios. Limits for frequencies between the table columns shall be linearly interpolated.

<span id="page-4-2"></span>**Table 1 – Spectral Line Limits. Allowable radiated power for electronic components, at a distance of 10m from the receiving elements, at 100 m/s spectral resolution.**





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<span id="page-5-1"></span>**Table 2 – Continuum Limits. Allowable radiated power for electronic components, at a distance of 10m from the receiving elements, at 0.1% spectral resolution.**



The tables are based on unity gain, assuming the RFI enters through a sidelobe of the antenna.  $F_h$  is the harmful power flux density level, and EIRP<sub>h</sub> is the harmful effective isotropic radiated power. The ratio of the emitting device EIRP to the harmful EIRP (EIRP<sub>h</sub>) is the shielding required. For example, a device with an EIRP of 1nW (–60dBm) at 3GHz would require at least 55dB of shielding to conform to [Table 1.](#page-4-2)

The tables above assume the radiator is 10 m from the antenna feed. For other distances, the  $EIRP<sub>h</sub>$  can be calculated as follows:

$$
EIRP_h = \frac{4\pi r^2 S F_h}{G}
$$

where *r* is the distance in meters, *S* is the device shielding ratio, *G* is equal to 1, and *Fh* is from [Table 1.](#page-4-2)

For [Table 1,](#page-4-2) the radiated power limit is applicable over a bandwidth that corresponds to a spectral resolution of 100 m/s. This can be calculated as 333 Hz  $* v<sub>G</sub>$ , where  $v<sub>G</sub>$  is the RF frequency in GHz. This resolution bandwidth is inconvenient for testing, so practical test considerations are discussed in the following subsection. For [Table 2,](#page-5-1) the applicable bandwidth can be calculated as 1 MHz  $*$   $v_G$ .

#### <span id="page-5-0"></span>3.1.1 Verification Considerations

A practical test setup will likely sweep at a fixed channel resolution (i.e., at fixed resolution bandwidth). It is preferable that the swept channel width be narrower than the radiated power bandwidth given in the preceding section. Measured EIRP can then be averaged over multiple channels and scaled by the bandwidth ratio, assuming a noise-like distribution of radiated power within each channel.

E.g., at a resolution bandwidth of 1 kHz, evaluating the performance at 10 GHz, the power in four adjacent 1kHz channels could be summed, and then corrected by bandwidth  $(3.3kHz/4kHz)$  to produce an EIRP<sub>h</sub> for the device to be compared to [Table 1.](#page-4-2) An example of such a test is given in [Table 3.](#page-5-2)

<span id="page-5-2"></span>









Should the test system noise floor require a wider resolution bandwidth, the assumption of a noise-like distribution of radiated power will need to be substantiated for the device under test.

When shielded enclosures are employed, independent testing of the device emissions without shielding, and the shielding effectiveness of the enclosure, is permitted. In this scenario, the device may be emission tested with an access panel removed to determine the baseline emission level. The shielding of the enclosure can be determined by placing a calibrated higher-power emitter in the shielded enclosure and determining the attenuation over frequency. The effective EIRP, in dBm, is then the sum of the baseline emission level and the attenuation provided by the enclosure.

#### <span id="page-6-0"></span>3.1.2 Off-Antenna Equipment & Site Building Requirements

Electronics equipment outside the antenna, or located in buildings near the site, are subject to the same emission requirements listed in Section [3,](#page-4-3) but the distance should be updated to reflect the distance from the building or equipment perimeter to the nearest antenna in the array. E.g., equipment located 1 km from the nearest antenna would have an  $EIRP<sub>h</sub>$  threshold that is 40dB higher than [Table 1](#page-4-2) and [Table 2](#page-5-1)  $(10*log((1000m/10m)^2)) = 40dB$ .

Shielding requirements for buildings and enclosures shall be based on measured emissions of the housed equipment, or representative analogs for that equipment.

## <span id="page-6-1"></span>*3.2 Electrically Coupled Coherent Signal Limits*

Local oscillator signals and harmonics which are coherently distributed across the array, and therefore common amongst array elements, are subject to thresholds on coupled or directly-injected signal strength. These thresholds are set relative to the system power level on cold sky, based on SYS2104.

Compliance with SYS2104 is to be determined over the full operating range of the system. Care should also be exercised where signals could couple into any intermediate frequency bands used as part of the down-conversion and digitization architecture.

As this requirement is given at the system level, it is not discussed further in this technical specification.

#### <span id="page-6-2"></span>*3.3 Electromagnetic Emission Design Requirements*

The following requirements shall be fulfilled *as a minimum* to support the emission requirements for the design, but the designer may propose alternatives if quantitative evidence is provided that the alternatives are at least as effective as the specification. Shielding requirements may be computed as described in Section [3.](#page-4-3)









The goal of these requirements is to limit the use of devices that are likely to cause harmful emission levels, and shield the remaining necessary emitters. This list is not comprehensive, and the designer should exercise due diligence in limiting the harmful emissions generated by his/her design. Design for RFI emission mitigation is expected to be a significant effort in most electronic components of the ngVLA.





# <span id="page-8-0"></span>**4 Immunity Requirements**

All ngVLA equipment shall exhibit complete electromagnetic compatibility (EMC) among components (intra-system electromagnetic compatibility). Prevention of electromagnetic interference (EMI) between subsystems (inter-system electromagnetic compatibility) is also critical.

The following requirements establish the required robustness of the system to perform without degradation in the presence of defined electromagnetic disturbances. Thresholds where a defined degradation in performance is permitted are also listed.

## <span id="page-8-1"></span>*4.1 Commercial Off-The-Shelf Equipment*



Commercial-off-the-shelf equipment will be accepted in the system where it does not degrade the overall system functionality and ensures that the performance criteria established later in this section is maintained at the subsystem and system level.

The requirements listed in this section aim to ensure that otherwise acceptable COTS components are not made ineligible due to testing compliance with ngVLA EMC standards. These COTS standards are applicable to electromagnetic immunity only, with emission requirements applicable to all equipment present during observations at the ngVLA antenna sites.

## <span id="page-8-2"></span>*4.2 Performance Criteria*

The following performance criteria will be applied in subsequent sections of this specification.







## <span id="page-9-0"></span>*4.3 Conducted Immunity*

#### <span id="page-9-1"></span>4.3.1 Step Voltage Fluctuations



Verification of step voltage fluctuation immunity shall be based on test results whenever possible. Exceptions may be made for systems drawing over 30A (e.g., antenna drives), where tests become impractical. Verification in such cases may be based on inspection of manufacturer certifications (in the event of COTS equipment) or by analysis.

For polyphase systems, the voltage fluctuation should be applied to a single phase and to all three phases as separate tests.



#### <span id="page-9-2"></span>4.3.2 Voltage Dips

Verification of long and short voltage dip immunity shall be based on test results whenever possible. Exceptions may be made for systems drawing over 30A (e.g., antenna drives), where tests become impractical. Verification in such cases may be based on inspection of manufacturer certifications (in the event of COTS equipment) or by analysis.

For polyphase systems, the voltage dips should be applied to a single phase and to all three phases as separate tests.





#### <span id="page-10-0"></span>4.3.3 Voltage Interruptions



Verification of voltage interruption immunity shall be based on test results. No exceptions are anticipated, given that the experimental test setup is expected to be practical for all ngVLA electronics systems. The supply lines may be grounded or float in the test setup. For polyphase systems, the voltage interruptions should be applied to a single phase and to all three phases as separate tests.



#### <span id="page-10-1"></span>4.3.4 Voltage Surges and Bursts

The purpose of these requirements is to ensure equipment safety and reliable operation when subjected to high-energy disturbances on power and signal interconnects caused by overvoltage from switching and lightning transients.

Verification of burst immunity shall be based on test results whenever possible. Exceptions may be made for systems drawing over 30A (e.g., antenna drives), where tests become impractical. Verification in such cases may be based on inspection of manufacturer certifications (in the event of COTS equipment) or by analysis.

UPS-protected COTS devices may be exempted from this requirement if mitigation of the conducted burst risk can be demonstrated by inspection or analysis.





#### <span id="page-11-0"></span>4.3.5 Conducted Noise



The conducted noise immunity requirements confirm that system performance is not impacted by noise on AC and DC mains supply conductors over the span of frequencies from 30 Hz to 150 kHz. Verification of conducted noise immunity shall be based on test results whenever possible.

## <span id="page-11-1"></span>*4.4 Electrostatic Discharge (ESD) Requirements*



The ESD air-discharge and direct contact thresholds assume the devices are enclosed in any provided enclosures, as they would be found in the operational environment. Test locations are any accessible point outside of a closed cabinet (e.g., door handles or panels).

Service personnel will be provided with wrist bands at site service points and at all repair locations to prevent the occurrence of ESD to equipment within racks or enclosures during service.

## <span id="page-11-2"></span>**5 Verification**

The design may be verified to meet the requirements by analysis (A), inspection (I), a demonstration (D), or a test (T), each defined below.

**Verification by Analysis:** The fulfillment of the specified performance shall be demonstrated by appropriate analysis (hand calculations, finite element analysis, thermal modeling, etc.), which will be checked by the ngVLA project office during the design phase.





**Verification by Inspection:** The compliance of the developed system is determined by a simple inspection or measurement.

**Verification by Demonstration:** The compliance of the developed feature is determined by a demonstration.

**Verification by Test:** The compliance of the developed system with the specified performance shall be demonstrated by site acceptance tests.

Multiple verification methods are allowed. The primary (final) verification method is identified below. Subsystems or individual components may have alternate methods of verification, depending on the risk presented by the given parameter to that subsystem or component.







# <span id="page-13-0"></span>**6 Appendix**

# <span id="page-13-1"></span>*6.1 Abbreviations & Acronyms*







## <span id="page-14-0"></span>*6.2 Definitions*

**Burst:** A sequence of a limited number of pulses, or an oscillation of limited duration.

**Electromagnetic Compatibility (EMC):** The ability of a device to function satisfactorily in its electromagnetic environment without introducing intolerable electromagnetic disturbances to the environment and nearby devices.

**Electromagnetic Compatibility Level:** A specified maximum electromagnetic disturbance level that may be impressed on the device.

**Electromagnetic Disturbance:** Any electromagnetic phenomena which may degrade the performance of a device. An electromagnetic disturbance may be noise, an unwanted signal, or a change in the propagation medium.

**Electromagnetic Interference (EMI):** The degradation of the performance of a device caused by an electromagnetic disturbance. Disturbance is the cause, while interference is the effect.

**Harmonic:** A frequency that is a multiple of a Fourier component of a periodic signal.

**(Total) Harmonic Factor**: The ratio of the rms value of harmonic content to the rms value of an alternating signal.

**Immunity**: The ability of a device to perform without degradation in the presence of an electromagnetic disturbance.

**Interharmonics**: A discrete or wideband frequency signal which is not an integer multiple of a Fourier component of a periodic signal.

**Susceptibility**: The inability of a device to perform without degradation in the presence of an electromagnetic disturbance.

**Voltage Dip**: A sudden reduction in the voltage at a point in an electrical network, followed by a recovery, on timescales of msec to sec.

**Voltage Imbalance**: In a polyphase system, a condition in which the rms values of the phase voltages, or the phase angles between consecutive phases, are not equal.

**Voltage Interruption**: The disappearance of a supply voltage. In this specification, defined to be for a period not exceeding 1 minute.

**Voltage Surge**: A transient voltage wave characterized by a rapid increase and a slower decrease.





## <span id="page-15-0"></span>*6.3 Derivation of RFI Emission Limits*

The EIRP limits listed in Section [3](#page-4-3) are based on the analysis performed for the VLA [RD01], refining the values in ITU RA.769-2 [RD03] for a connected element interferometer. The allowable emission limits are computed for an interferometer with attenuation of a stationary RFI source provided by phase winding. The specifications in Section [3](#page-4-3) rely on this attenuation, and may not be suitable for total power radiometry. The analysis in [RD01] uses an expression for the attenuation factor from [RD02]:

$$
R = N_a + 12 \sqrt{\tau v_G B_K \cos \delta}
$$

Where  $N_a$  is the number of antennas in the array,  $\tau$  is the integration time in seconds,  $\nu_a$  is the RF frequency in GHz,  $B_K$  is the maximum baseline in km, and  $\delta$  is the source declination. The coefficient 12 is unique to the VLA, and must be recomputed for ngVLA. This coefficient was derived from equation 16 in [RD02]:

$$
C = \frac{\sqrt{1000}}{1.34\sqrt{f}} = 12
$$

The square root of 1000 accounts for the fact that RD02 Equation 16 is in MHz rather than GHz. *f* is the ratio of  $B_{MAX}/B_{MLAM}$  which, for VLA, is approximately 4. The factor 1.34 subsumes a number of constants, including  $1/N_a$ , so must be corrected by  $27/N_a$  for the ngVLA. The resultant formula suitable for the ngVLA is:

$$
R = N_a + \frac{0.87 N_a}{\sqrt{f}} \sqrt{\tau v_G B_K \cos \delta}
$$

The computation for ngVLA is complicated by the routine use of sub-arrays. The attenuation is minimized when the array is compact and the source is high in the sky. Standalone use of the short baseline array (SBA) presents a limiting conservative case, and the following input parameters [\(Table 4\)](#page-15-1) were used to determine the attenuation factor:

<b>Parameter</b>	Value	<b>Units</b>	<b>Notes</b>
$N_a$	۱9		SBA rev. C
$B_{Max,K}$	0.060	km	SBA rev. C
	85	degrees	Gets worse at high declination.
$B_{Mean,K}$	0.029	km	SBA rev. C
			Ratio of max to mean baseline, in core.

<span id="page-15-1"></span>**Table 4 – Input parameters to fringe rotation attenuation computation.**

The remainder of the analysis follows the process outlined in [RD01]. An integration period of 2,000 seconds was used, and the emitter was placed at a distance of 10m from the receiver. The resolution bandwidth for [Table](#page-4-2) 1 is consistent with SCI0116, while [Table](#page-5-1) 2 uses a resolution bandwidth more appropriate for a continuum observation with less channelization. The detailed derivations in support of [Table 1](#page-4-2) are shown in Table 5.





#### <span id="page-16-0"></span>**Table 5 – Detailed derivations for Spectral Line limits.**



The parameters in [Table 5](#page-16-0) are described below, along with any constants used in their computation.









#### <span id="page-17-0"></span>6.3.1 Total Power Array Considerations

The computations in [Table 5](#page-16-0) assume an interferometric attenuation factor specific to the short baseline array. The factor is larger for all other interferometric subarrays presently envisioned, so this limit will suffice for all interferometric use cases.

The total power array (TPA) would not benefit from the attenuation factors used in computing the detrimental threshold levels. A strict interpretation and flow down of the requirements, inclusive of a total power array, would require us to adopt the ITU RA.769-2 standards directly, which are approximately 17dB to 26dB stricter than the specification provided in this document.

We will assess the value of such a requirement in the context of the Notional Envelope Observing Program (EOP) [RD05]. While the distribution of the main array and SBA use cases is broadly distributed over frequency, the TPA use cases are highly skewed towards the upper frequency bands. Fully 94% of the identified TPA use cases would employ Band 6 (70–116 GHz), with the remaining 6% using Band 1 (1.2– 3.5 GHz).

The emission requirements are expected to drive the design at low frequency (<10 GHz). Mitigation of emission for wavelengths comparable to the enclosure size is appreciably harder than for short wavelengths. Short wavelength emission tends to be more directional, and more easily absorbed. Any mitigation strategy that meets the emission requirements at low frequency is expected to provide attenuation at high frequency well in excess of the specification. This assumption is captured in requirement EMC0311. We therefore expect that the emission thresholds specified will prove ample to protect TPA observations in Band 6, and deem discarding a limited number of channels in Band 1 an acceptable compromise given the external RFI environment at low frequency.

As the TPA design and use cases are further developed, this assumption should be revisited. Mitigation unique to the TPA antennas could be considered to reduce local emissions to the ITU standards, if necessary.



## <span id="page-18-0"></span>*6.4 Comparison to ITU RA.769-2 and Other Facilities*

A comparison to the known ITU RA.769-2 standard, and other facilities, may provide useful context to these requirements.

The ngVLA detrimental emission thresholds given in Section [3.1](#page-4-1) differ from the ITU standards in some key ways:

- The  $T_{SYS}$  values are based on the ngVLA reference design and differ from the  $T_{ANT}$  and  $T_{REC}$  values used in the ITU standard.
- The spectral resolution in the ITU standard is tied to the width of the bandwidth allocations to radio astronomy. This leads to some structure in the ITU standard over frequency. The ngVLA specification is given at a fixed spectral resolution applicable for spectral line and continuum mode channelization (100 m/s and 0.1% of the center frequency, respectively.)
- ITU Tables 1 and 2 are applicable to single dish total power radiometry. This is in excess of what is required for an imaging interferometer, so the ngVLA requirement includes an interferometric attenuation factor, following the methodology used to determine the VLA emission thresholds [RD01, RD02]. The resultant values are very close to those given in ITU Table 3 for VLBI observations.

The last item is the most significant, and the derivation of this attenuation factor is described in Section [6.3.](#page-15-0) We note that this derivation is in conflict with the desired inclusion of total power capability on the ngVLA, but we deem this risk minor for two reasons:

- The majority of the total power use cases are high frequency. The self-interference risk is minimal above 10 GHz due to the directionality of such RFI and the efficacy of shielding and absorber materials at high frequencies.
- Alternative design solutions may be explored for the total power dishes, so they may adopt a stricter standard and can be placed at an appropriate distance from neighboring antennas.

These considerations are described further in Section [6.3.1.](#page-17-0)

The spectral power flux density limits given in the ITU Tables 1, 2, and 3 are contrasted with the ngVLA requirements in [Figure 1.](#page-19-0) The VLA emission requirements are also given for context. As part of the model verification process the ITU values were recomputed at desired point frequencies. This required some assumed or interpolated inputs, so the values in this figure may differ from the ITU tables by  $\pm 2$  dB. Note that the derivation of these limits is independent of the array collecting area, since it is assumed to enter through a feed receiver sidelobe. Hence, the VLA limits are very close to the ngVLA limits. The primary differences between the VLA and ngVLA cases are due to different spectral resolution (bandwidth) assumptions, and the number of antennas in each array which influences the interferometric attenuation factor.

[Figure 2](#page-19-1) converts these values to a power spectral density at 10m. The corresponding limits for the MeerKAT telescope are added for context. MeerKAT has effectively adopted the ITU standard, but smoothed over frequency by adopting a standard spectral resolution that scales with frequency.







<span id="page-19-0"></span>**Figure 1 – Spectral Power Flux Density Limits for the ngVLA, VLA and ITU-R RA.769-2.**



<span id="page-19-1"></span>